

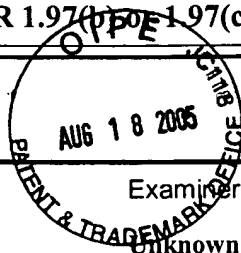
2877

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 112857-491	
In Re Application Of: Mizutani et al.					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/520,915	January 7, 2005	Unknown	29175	2877	5221
Title: ANODE MATERIAL AND MANUFACTURING METHOD THEREOF, AND BATTERY					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>					
OR					
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(a) and 1.97(c))

Docket No.
112857-491

In Re Application: Mizutani et al.



Application No. 10/520,915	Filing Date January 7, 2005	Examiner Unknown	Customer No. 29175	Group Art Unit 2877	Confirmation No. 5221
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Title: ANODE MATERIAL AND MANUFACTURING METHOD THEREOF, AND BATTERY

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
 - ☐ Credit any overpayment.
 - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

August 15, 2005

(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Certificate

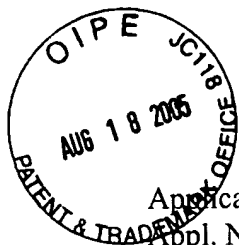
***This certificate may only be used if paying by deposit account.**

Signature

Dated: August 15, 2005

Thomas C. Basso
Reg. No. 46,541
Cust. No. 29175

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mizutani et al.
Appl. No.: 10/520,915
Conf. No.: 5221
Filed: January 7, 2005
Title: ANODE MATERIAL AND MANUFACTURING METHOD THEREOF, AND
BATTERY
Art Unit: 2877
Examiner: Unknown
Docket No.: 112857-491

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed and/or a description of the reference can be found in applicants' specification.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,950,566	August 21, 1990	Huggins et al.
6,558,841	May 6, 2001	Nakagiri et al.
6,495,291	December 17, 2002	Kohno et al.
2003/0211390	November 13, 2003	Dahn et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
JP8315825	November 29, 1996	Japan
JP10312804	November 24, 1998	Japan
JP2000311681	November 7, 2000	Japan
JP2000173669	June 23, 2000	Japan
JP2000173670	June 23, 2000	Japan

Appl. No. 10/520,915

JP2001068096 March 16, 2001 Japan

JP2002270160 September 20, 2002 Japan

OTHER DOCUMENTS


Briggs et al., "Auger and X-ray Photoelectron Spectroscopy," Practical Surface Analysis, Second edition, John Wiley & Sons, 1990.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Thomas C. Basso

Reg. No. 46,541

Cust. No. 29175

Dated: August 15, 2005



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
112857-491

Application No.
10/520,915

Applicant
Mizutani et al.

Filing Date
January 7, 2005

Group
2877

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Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,950,566	August 21, 1990	Huggins et al.			
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	JP2000173670	June 23, 2000	Japan				
	JP2001068096	March 16, 2001	Japan				
	JP2002270160	September 20, 2002	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	Briggs et al., "Auger and X-ray Photoelectron Spectroscopy," Practical Surface Analysis, Second edition, John Wiley & Sons, 1990.

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.